

Code of Conduct Complaints - Status Report

	Cases received	Cases open (cumulative)	Assessed by Committee – investigation	Assessed by Committee – no investigation	To be assessed by Committee/other	Cases closed	Appeals received
April 2009 to December 2009	44	23	18	10	16	21	1 (original decision overturned)
January 2010 to December 2010	33	18	4	27	2	38	9 (original decision upheld)
January 2011 to December 2011	67	20	18	49	0	65	9 (7 original decision upheld & 2 pending)

Hearings

		Type of Hearing	Outcome	
2009		Assessment sub-committees - 27	Investigations – 14 Alternative action – 2 No further action – 11	
2010		Assessment sub-committees – 29 Review sub-committees – 10 Consideration sub-committees - 11 Determination sub-committees - 6	Investigations – 4 Alternative action – 4 No further action – 21 Original decision upheld – 9 Original decision overturned – 1 Referral for determination – 7 Finding of no breach accepted – 4 No breach – 2 No breach & breach (training) - 1 Breach – 3 = 1(censure), 1(training) & 1(no further action)	

Hearings

		Type of Hearing	Outcome
2011		<p>Assessment sub-committees – 71</p> <p>Review sub-committees – 9</p> <p>Consideration sub-committee – 7</p> <p>Determination sub-committee – 7</p>	<p>Investigations - 19 Alternative action - nil No further action – 52</p> <p>Original decision upheld – 9</p> <p>Referral for determination - 6 Finding of no breach accepted – 1</p> <p>No breach – 6 No breach & breach (no sanctions) - 1</p>

Investigations – closed

Case reference	Date of Assessment hearing	Outcome
WC 06/09	13/05/09	Determination Sub-Committee 13 May 2010 – failure to comply (sanction – training)
WC 14/09	23/06/09	Consideration Sub-Committee 15 April 2010 – no failure to comply
WC 15/09	23/06/09	Consideration Sub-Committee 15 April 2010 – no failure to comply
WC 18/09	13/05/09	Determination Sub-Committee 6 October 2010 – no failure to comply
WC 19/09	13/05/09	Determination Sub-Committee 6 October 2010 - no failure to comply
WC 20/09	23/06/09	Determination Sub-Committee 17 March 2011 – no failure to comply
WC 24/09	07/07/09	Determination Sub-Committee 12 April 2010 – failure to comply (sanction- censure)
WC 30/09	07/07/09	Determination Sub-Committee 19 May 2011 – no failure to comply
WC 31/09	07/07/09	Determination Sub-Committee 19 May 2011 – no failure to comply
WC 32/09	07/07/09	Determination Sub-Committee 19 May 2011 – no failure to comply
WC 33/09	07/07/09	Determination Sub-Committee 14 October 2010 – 1 no failure to comply & 1 failure to comply (sanction – training)
WC 38/09	19/11/09	Determination Sub-Committee 5 July 2010 – failure to comply (no further action)
WC 42/09	03/02/10 (Review Sub-Ctte)	Consideration Sub-Committee 7 September 2010 – no failure to comply
WC 09/10	25/05/10	Consideration Sub-Committee 14 December 2010 – no failure to comply
WC 10/10	25/05/10	Determination Sub-Committee 30 March 2011 - 1 no failure to comply & 4 failures to comply (no sanction)
WC 12/10	19/08/10	Consideration Sub-Committee 24 February 2011 – no failure to comply
WC 43/09	02/12/09	Determination Sub-Committee 2 September 2011 - no failure to comply
WC 45/09	02/12/09	Determination Sub-Committee 2 September 2011 - no failure to comply
WC 38/11	07/06/11	Investigation concluded 16/09/11 due to resignation of subject member

Investigations – open

Case reference	Date of Assessment hearing	Progress	Estimated date of final report
WC 33/11	13/05/11	Determination Sub-Committee – 21 February 2012	N/A
WC 34/11	07/06/11	Consideration Sub-Committee – 31 January 2012	N/A
WC 36/11	07/06/11	Consideration Sub-Committee – 31 January 2012	N/A
WC 37/11	07/06/11	Consideration Sub-Committee – 31 January 2012	N/A
WC 39/11	13/07/11	Report being drafted	January 2012
WC 42/11	02/08/11	Interviews completed	February/March 2012
WC 43/11	02/08/11	Interviews ongoing	February/March 2012
WC 47/11	09/08/11	Report being drafted	February 2012
WC 48/11	09/08/11	Report being drafted	February 2012
WC 49/11	09/08/11	Report being drafted	February 2012
WC 53/11	09/08/11	Report being drafted	February 2012
WC 50/11	09/08/11	Report drafted	January 2012
WC 51/11	09/08/11	Report drafted	January 2012
WC 52/11	09/08/11	Report drafted	January 2012
WC 55/11	13/10/11	Investigation underway	Spring 2012
WC 56/11	13/10/11	Report being drafted	February 2012
WC 58/11	07/11/11	Investigation underway	Spring 2012
WC 67/11	20/12/11	Investigating Officer appointed	Spring 2012